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# 励起状態のモデル化

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## 配置換相互作用法

Configuration Interaction (CI) Method

- Full-CI (現実的でない)
- CI-Singles (配置の選び方に依存)

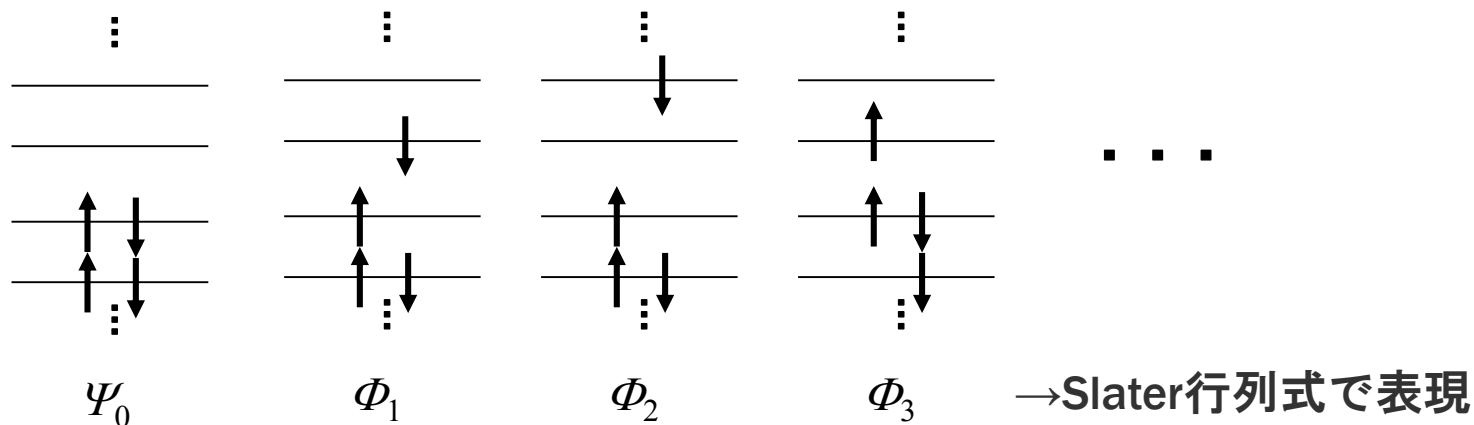
## 時間発展法

Time-Propagation Method

- 時間依存Hartree-Fock
  - 時間依存DFT
    - 時間依存Schrödinger方程式
    - 密度汎関数理論(DFT)
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# 配置間相互作用

励起（主に価電子の一電子励起）状態のエネルギーを求める→基底状態との差が吸収波長に相当



$$\Psi_k = \sum_i A_{ki} \Phi_i$$

$$\sum_j A_{kj} (H_{ij} - ES_{ij}) = 0$$

$$H_{ij} = \int \Phi_i^* \hat{H} \Phi_j \, dv, \quad S_{ij} = \int \Phi_i^* \Phi_j \, dv$$

配置間相互作用  
(Configuration Interaction, CI)

## 時間依存Schrödinger方程式

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$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = \hat{H} |\psi(t)\rangle$$

Hは時間を推進する

$$|\psi(t)\rangle = \exp\left[-\frac{i}{\hbar} \hat{H}t\right] |\psi(0)\rangle$$

$$|\psi(t + \Delta t)\rangle = \exp\left[-\frac{i}{\hbar} \hat{H}\Delta t\right] |\psi(t)\rangle$$

$$\exp \hat{A} = 1 + \hat{A} + \frac{1}{2!} \hat{A}^2 + \dots + \frac{1}{n!} \hat{A}^n + \dots \equiv U$$

AがHermitであればexpAはUnitary

# 選択律は時間に依存する摂動論で導かれる

時間依存Schrödinger方程式  $\hat{H}\Psi = i\hbar \frac{\partial\Psi}{\partial t}$ ,  $\Psi_n(r, t) = \psi_n(r) \exp\left[-i \frac{E_n t}{\hbar}\right]$

電磁場による摂動  $E = E_0 \cos 2\pi\nu t$ ,  $\hat{H}^{(1)} = -\mu \cdot E = -\mu \cdot E_0 \cos 2\pi\nu t$

二状態モデル  $\Psi_1(t) = \psi_1 \exp\left[-i \frac{E_1 t}{\hbar}\right]$ ,  $\Psi_2(t) = \psi_2 \exp\left[-i \frac{E_2 t}{\hbar}\right]$

$\hat{H} + \hat{H}^{(1)}\Psi = i\hbar \frac{\partial\Psi}{\partial t}$  に  $\Psi = a_1(t)\Psi_1 + a_2(t)\Psi_2$  を代入すると、

$$\begin{aligned} \hat{H}^{(1)} \left( a_1 \exp\left[-i \frac{E_1}{\hbar} t\right] \psi_1 + a_2 \exp\left[-i \frac{E_2}{\hbar} t\right] \psi_2 \right) \\ = i\hbar \left( \frac{\partial a_1}{\partial t} \right) \exp\left[-i \frac{E_1}{\hbar} t\right] \psi_1 + i\hbar \left( \frac{\partial a_2}{\partial t} \right) \exp\left[-i \frac{E_2}{\hbar} t\right] \psi_2 \end{aligned}$$

## (つづき)

$\Psi_2^*$  をかけて積分し、 $a_1(0) = 1$ ,  $a_2(0) = 0$  とすると、 $t = 0$  において、

$$\frac{\partial a_2}{\partial t} = -\frac{i}{\hbar} \exp\left[i \frac{(E_2 - E_1)}{\hbar} t\right] \int \psi_2^* \hat{H}^{(1)} \psi_1 d\tau$$

$$a_2(t) = -\frac{i}{2\hbar} (\mu_z)_{12} E_{0z} \int_0^t \left\{ \exp\left[i \frac{(E_2 - E_1 + h\nu)t'}{\hbar}\right] + \exp\left[i \frac{(E_2 - E_1 - h\nu)t'}{\hbar}\right] \right\} dt'$$

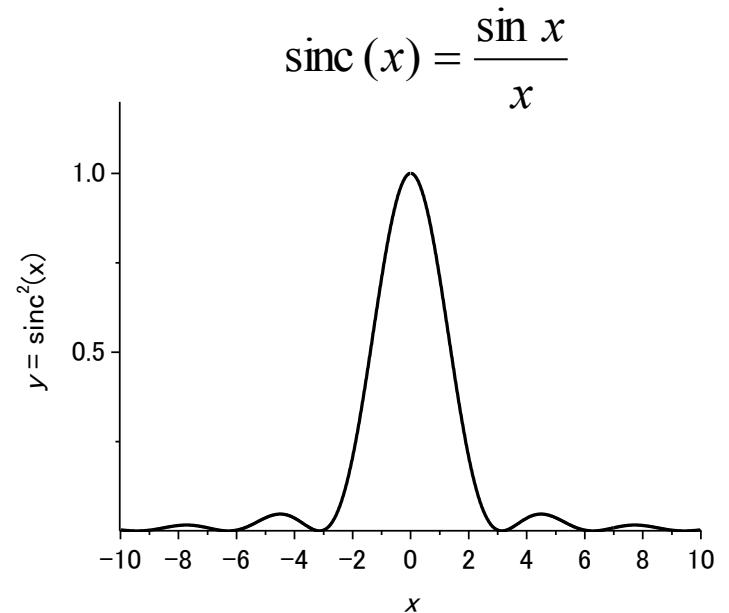
$$= \frac{1}{2} (\mu_z)_{12} E_{0z} \left\{ \frac{1 - \exp\left[-i \frac{(E_2 - E_1 - h\nu)t}{\hbar}\right]}{E_2 - E_1 - h\nu} \right\}$$

ここで、遷移双極子モーメントを

$$(\mu_z)_{12} = \int \psi_2^* \mu_z \psi_1 d\tau$$

と定義した

$$a_2^*(t) a_2(t) = |(\mu_z)_{12}|^2 E_{0z}^2 \frac{\sin^2\left[\frac{(E_2 - E_1 - h\nu)t}{2\hbar}\right]}{(E_2 - E_1 - h\nu)^2}$$



$$U_f = \frac{\pi e^2 F_0^2}{4m}$$

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$$f = \frac{U}{U_f} = \frac{8m}{e^2 \hbar^2 F_0^2} \Delta E |V_{BA}|^2$$

$$f = \frac{8m}{e^2 \hbar^2} \Delta E |\mu_{BA}|^2$$

$$p(\omega) = 10^{-1} \times \ln 10 \times \frac{\varepsilon(\omega) c_0 \rho}{N_A}$$

$$u(\omega) = \frac{1}{2} c_0 \varepsilon_0 F_0^2 = \hbar \omega c_0 \rho$$

$$f = \frac{4m}{\pi e^2 F_0^2} \hbar \omega \int p(\omega) d\omega = 10^{-1} \times \ln 10 \times \frac{2c_0 \varepsilon_0 m}{\pi e^2 N_A} \int \varepsilon(\omega) d\omega$$

$$f = 10^8 \times \ln 10 \times \frac{4c_0^2 \varepsilon_0 m}{e^2 N_A} \int \frac{\varepsilon(\lambda)}{\lambda^2} d\lambda = 4.32 \times 10^{-2} \times \int \frac{\varepsilon(\lambda)}{\lambda^2} d\lambda$$

# 密度汎関数法

## Density Functional Theory

### Hohenberg-Kohn定理

基底状態の波動関数は電子密度分布と1対1に対応する

### Kohn-Sham方程式

$$\left[ -\frac{1}{2} \nabla^2 + V_{\text{KS}}(\hat{r}) \right] \varphi_i(r) = \varepsilon_i \varphi_i(r) \quad \varphi_i(r): \text{仮想電子波動関数}$$

$$\rho(r) = 2 \sum_{i=1}^n |\varphi_i(r)|^2$$

$V_{\text{H}}$ : Hartreeポテンシャル  
 $V_{\text{XC}}$ : 交換相関ポテンシャル  
 $V_{\text{ext}}$ : 外場ポテンシャル

$$V_{\text{KS}} = V_{\text{H}}[\rho(r)] + V_{\text{XC}}[\rho(r)] + V_{\text{ext}}[\rho(r)]$$



# 時間依存密度汎関数法

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## Runge-Gross定理

時間依存の波動関数は電子密度分布と1対1に対応する

## 時間依存Kohn-Sham方程式

$$\left[ -\frac{1}{2} \nabla^2 + V_{\text{KS}}(\hat{r}) \right] \varphi_i(r, t) = i \frac{\partial}{\partial t} \varphi_i(r, t)$$

基底状態を初期値とし、 $V_{\text{ext}}$ として振動電場を考えて $\rho(r, t)$ を時間発展させる

→励起状態の $\rho(r, t)$ と励起エネルギー、振動子強度などがわかる。

# 励起状態の計算

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```
%chk=default
```

チェックポイントファイルの指定

```
#N B3LYP/6-311G** TD=(Nstates=5) 時間依存DFT
```

```
water molecule
```

コメント

```
0 1
```

電荷、スピン多重度

```
O
```

構造情報

```
H 1 r2
```

```
H 1 r3      2 a3
```

```
r2 1.0
```

```
r3 1.0
```

```
a3 104.5
```

# 通常のDFT計算の結果

Initial guess orbital symmetries:

```
Occupied (A1) (A1) (B2) (A1) (B1)
Virtual (A1) (B2) (B2) (A1) (B1) (A1) (B2) (A1) (A1) (A2)
        (B1) (B2) (B2) (A1) (A1) (B2) (B1) (A2) (A1) (A1)
        (B2) (B1) (A1) (B2) (A1)
```

The electronic state of the initial guess is 1-A1.

Requested convergence on RMS density matrix=1.00D-08 within 128 cycles.

Requested convergence on MAX density matrix=1.00D-06.

Requested convergence on energy=1.00D-06.

No special actions if energy rises.

Keep R1 integrals in memory in canonical form, NReq= 614236.

Integral accuracy reduced to 1.0D-05 until final iterations.

Initial convergence to 1.0D-05 achieved. Increase integral accuracy.

SCF Done: E(RB+HF-LYP) = -76.4459738120 A.U. after 9 cycles

Convg = 0.9400D-08 -V/T = 2.0028

S\*\*2 = 0.0000

ExpMin= 1.03D-01 ExpMax= 8.59D+03 ExpMxC= 1.30D+03 IAcc=1 IRadAn= 1 AccDes= 1.00D-06

HarFok: IExCor= 205 AccDes= 1.00D-06 IRadAn= 1 IDoV=1

ScaDFX= 1.000000 1.000000 1.000000 1.000000

Range of M.O.s used for correlation: 2 30

NBasis= 30 NAE= 5 NBE= 5 NFC= 1 NFV= 0

NRorb= 29 NOA= 4 NOB= 4 NVA= 25 NVB= 25

R1, R2, and R3 integrals will be kept in memory, NReq= 799768.

Orbital symmetries:

```
Occupied (A1) (A1) (B2) (A1) (B1)
Virtual (A1) (B2) (B2) (A1) (A1) (B1) (B2) (A1) (A1) (A2)
        (B1) (B2) (B2) (A1) (A1) (B2) (B1) (A2) (A1) (A1)
        (B2) (B1) (A1) (B2) (A1)
```

# 励起状態の計算結果

20 initial guesses have been made.

Convergence on wavefunction: 0.0010000000000000

Iteration 1 Dimension 20 NMult 20

CISAX will form 20 AO SS matrices at one time.

Iteration 2 Dimension 30 NMult 30

Iteration 3 Dimension 40 NMult 40

Iteration 4 Dimension 44 NMult 44

\*\*\*\*\*

Excited states from <AA,BB:AA,BB> singles matrix:

\*\*\*\*\*

Ground to excited state Transition electric dipole moments (Au):

state	X	Y	Z	Osc.
1	0.3692	0.0000	0.0000	0.0238
2	0.0000	0.0000	0.0000	0.0000
3	0.0000	0.0000	0.6169	0.0904
4	0.0000	0.4270	0.0000	0.0519
5	0.0000	0.8867	0.0000	0.2501

Ground to excited state transition velocity dipole Moments (Au):

state	X	Y	Z	Osc.
1	-0.2005	0.0000	0.0000	0.1022
2	0.0000	0.0000	0.0000	0.0000
3	0.0000	0.0000	-0.2903	0.1576
4	0.0000	-0.1762	0.0000	0.0485
5	0.0000	-0.4169	0.0000	0.2428

Ground to excited state transition magnetic dipole Moments (Au):

state	X	Y	Z
1	0.0000	0.1874	0.0000
2	0.0000	0.0000	-0.2790
3	0.0000	0.0000	0.0000
4	0.2776	0.0000	0.0000
5	-0.0895	0.0000	0.0000

$\langle 0 | \delta | b \rangle * \langle b | r_x \delta | 0 \rangle$  (Au), Rotatory Strengths (R) in  
cgs ( $10^{*-40}$  erg-esu-cm/Gauss)

state	X	Y	Z	R(velocity)
1	0.0000	0.0000	0.0000	0.0000
2	0.0000	0.0000	0.0000	0.0000
3	0.0000	0.0000	0.0000	0.0000
4	0.0000	0.0000	0.0000	0.0000
5	0.0000	0.0000	0.0000	0.0000

$\langle 0 | r | b \rangle * \langle b | r_x \delta | 0 \rangle$  (Au), Rotatory Strengths (R) in  
cgs ( $10^{*-40}$  erg-esu-cm/Gauss)

state	X	Y	Z	R(length)
1	0.0000	0.0000	0.0000	0.0000
2	0.0000	0.0000	0.0000	0.0000
3	0.0000	0.0000	0.0000	0.0000
4	0.0000	0.0000	0.0000	0.0000
5	0.0000	0.0000	0.0000	0.0000

$\langle 0 | \delta | b \rangle * \langle b | r | 0 \rangle$  (Au)

state	X	Y	Z	Osc.(frdel)
1	-0.0740	0.0000	0.0000	0.0494
2	0.0000	0.0000	0.0000	0.0000
3	0.0000	0.0000	-0.1791	0.1194
4	0.0000	-0.0752	0.0000	0.0501
5	0.0000	-0.3697	0.0000	0.2464

Ground to excited state transition densities written to RWF 633

# 励起配置・吸収波長・振動子強度など

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Excitation energies and oscillator strengths:

Excited State 1: Singlet-B1 7.1349 eV 173.77 nm f=0.0238  
5 -> 6 0.69252

This state for optimization and/or second-order correction.

Copying the excited state density for this state as the 1-particle RhoCI density.

Excited State 2: Singlet-A2 9.0146 eV 137.54 nm f=0.0000  
5 -> 7 0.70011

Excited State 3: Singlet-A1 9.7004 eV 127.81 nm f=0.0904  
4 -> 6 0.68703

Excited State 4: Singlet-B2 11.6169 eV 106.73 nm f=0.0519  
4 -> 7 0.68969

Excited State 5: Singlet-B2 12.9866 eV 95.47 nm f=0.2501  
3 -> 6 0.68673

# Comparison of the Spectroscopic Properties of $\pi$ -Conjugated, Fused Salphen Triads Embedded with Zn-Homo-, Ni-Homo-, and Ni/Zn-Heteronuclei

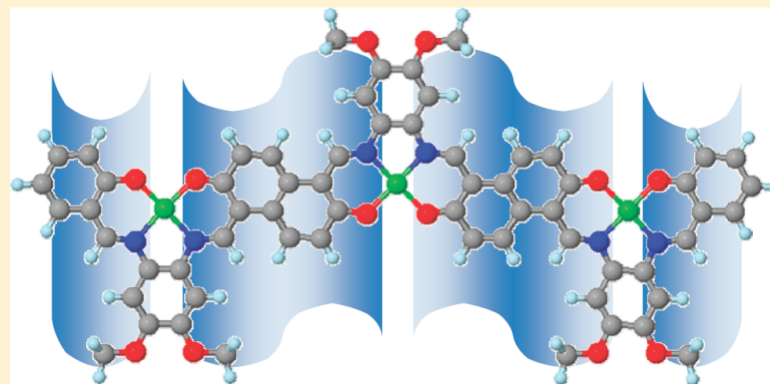
Hirohiko Houjou,\* Muneyuki Ito, and Koji Araki

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**S** Supporting Information

*Inorg. Chem.* **50** (2011) 5298-5306.

**ABSTRACT:** Stepwise condensation reactions of 2,6-dihydroxynaphthalene-1,5-dicarbaldehyde and a phenylenediamine with concomitant binding of metal ions afforded a trinuclear complex of a fully  $\pi$ -conjugated, fused salphen ligand. By changing the synthetic pathway, we obtained a series of homo- and heteronuclear complexes containing selected combinations of nickel(II) and zinc(II) ions. Comparison of the trinuclear complexes' spectroscopic features with those of analogous dinuclear complexes revealed that the absorption spectrum of each trinuclear complex is composed of a salphen-centered absorption at 400 nm and a naphthalene-centered absorption around 500–600 nm, suggesting that the  $\pi$ -conjugated system is divided into several compartments, each of which independently undergoes electronic excitation. Molecular orbital calculations revealed that the formal fusion of the salphen moieties increases the highest occupied molecular orbital (HOMO) level by  $\sim 0.4$  eV, which in turn causes the low-energy absorption observed in the spectra. In contrast, interorbital interactions mediated by the  $N_2O_2$  metal coordination site are small, even though this site is bridged by an *o*-phenylene linkage. These results suggest that the coordination site effectively breaks electronic communication between the compartments, which in turn affect various spectroscopic properties of the  $\pi$ -conjugated metallo-polysalphen.



### Scheme 1. Synthesis of the Trinuclear Complex $M_aM_bM_aL3$

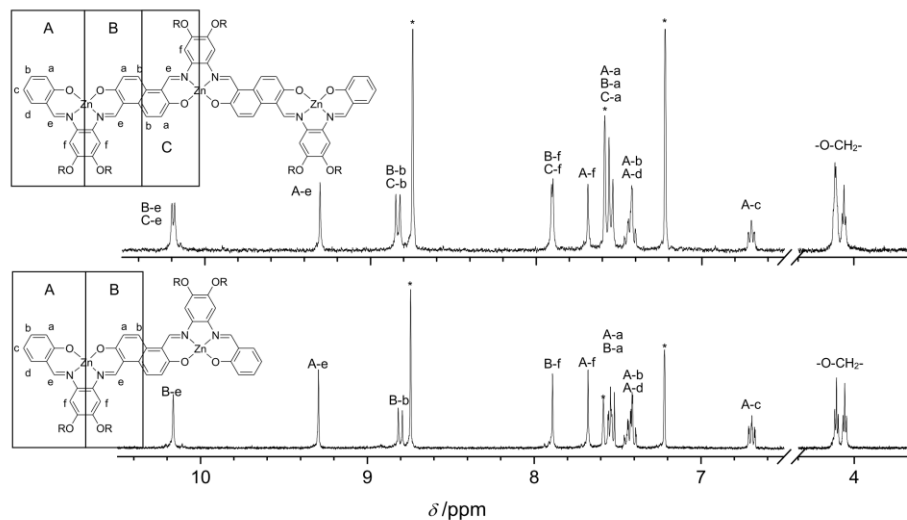
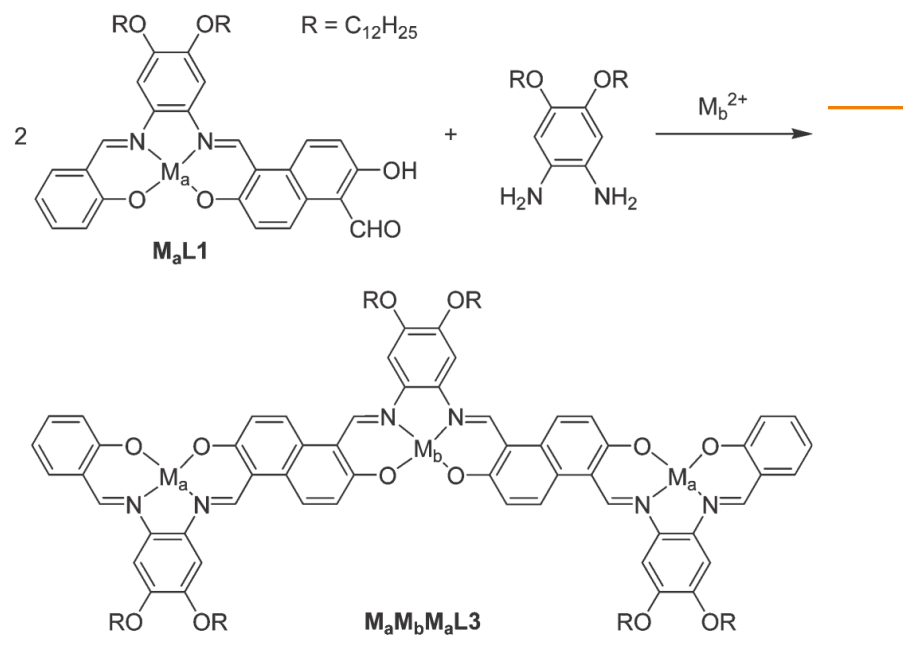


Figure S2 Comparison of  $^1H$  NMR spectra of  $Zn_3L3$  and  $Zn_2L2$  (\*: solvent (pyridine))

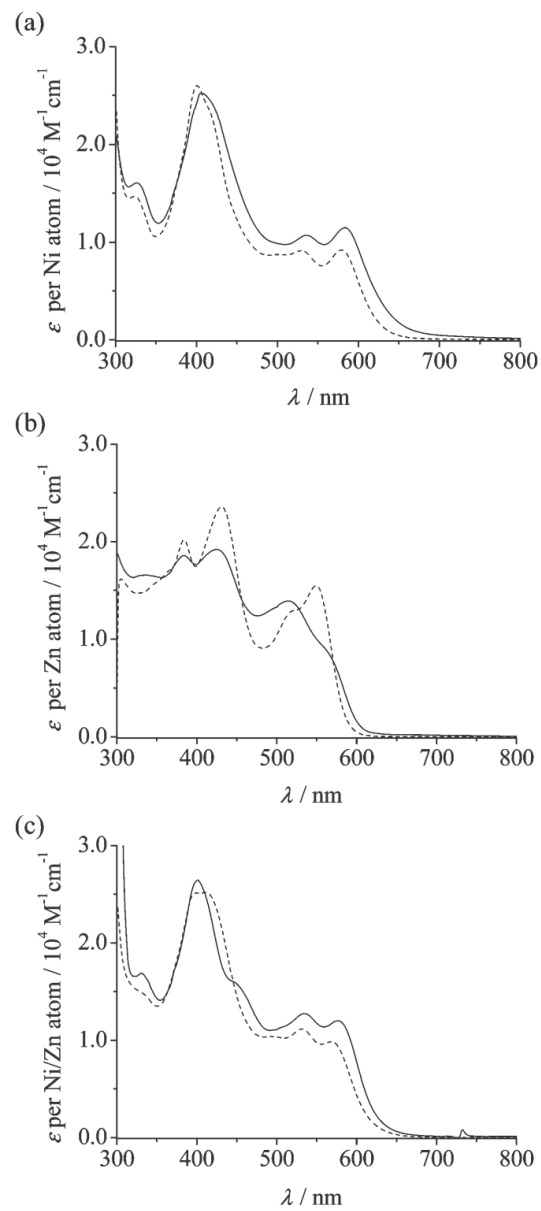
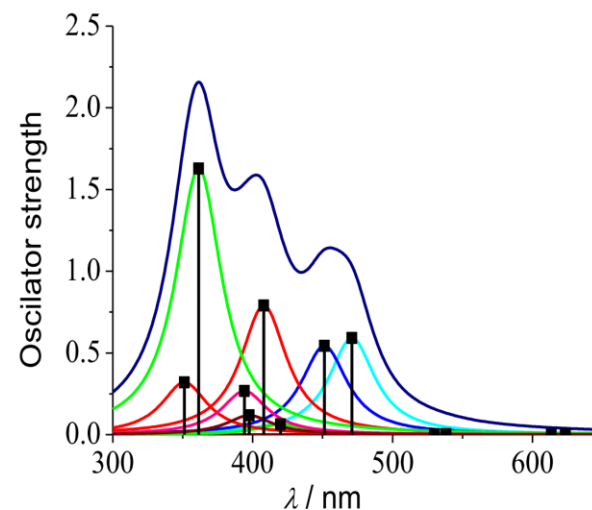
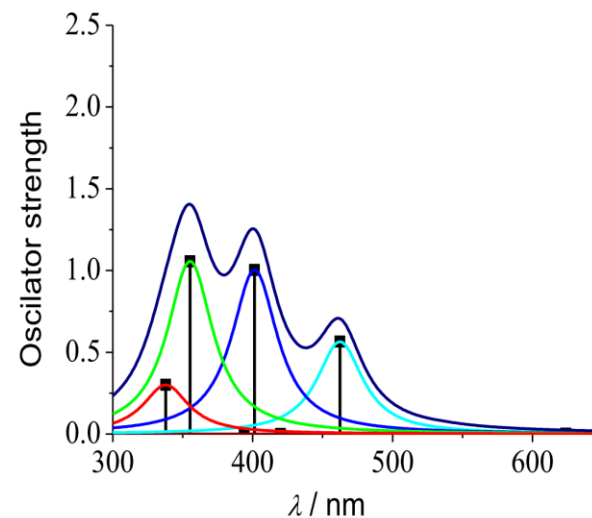


Figure 3. UV-vis absorption spectra of trinuclear (solid line) and dinuclear (dashed line) complexes: (a)  $Ni_3L3$  and  $Ni_2L2$ , (b)  $Zn_3L3$  and  $Zn_2L2$ , and (c)  $NiZnNiL3$  and  $NiZnL2$ . Absorption coefficients are normalized by the number of metal atoms per molecule.

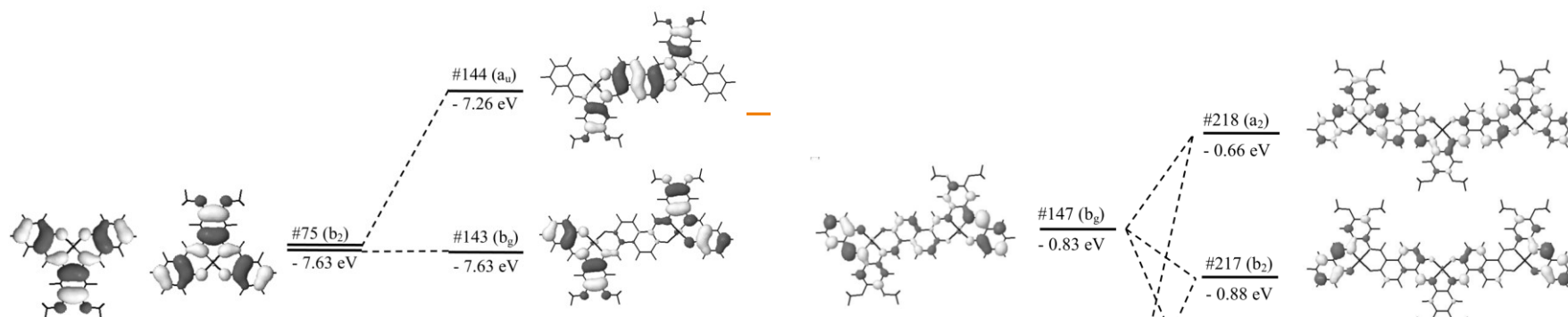


**Table 2. Selected Data for the Results of SCI Calculation of Di- and Trinuclear Salphen Complexes**

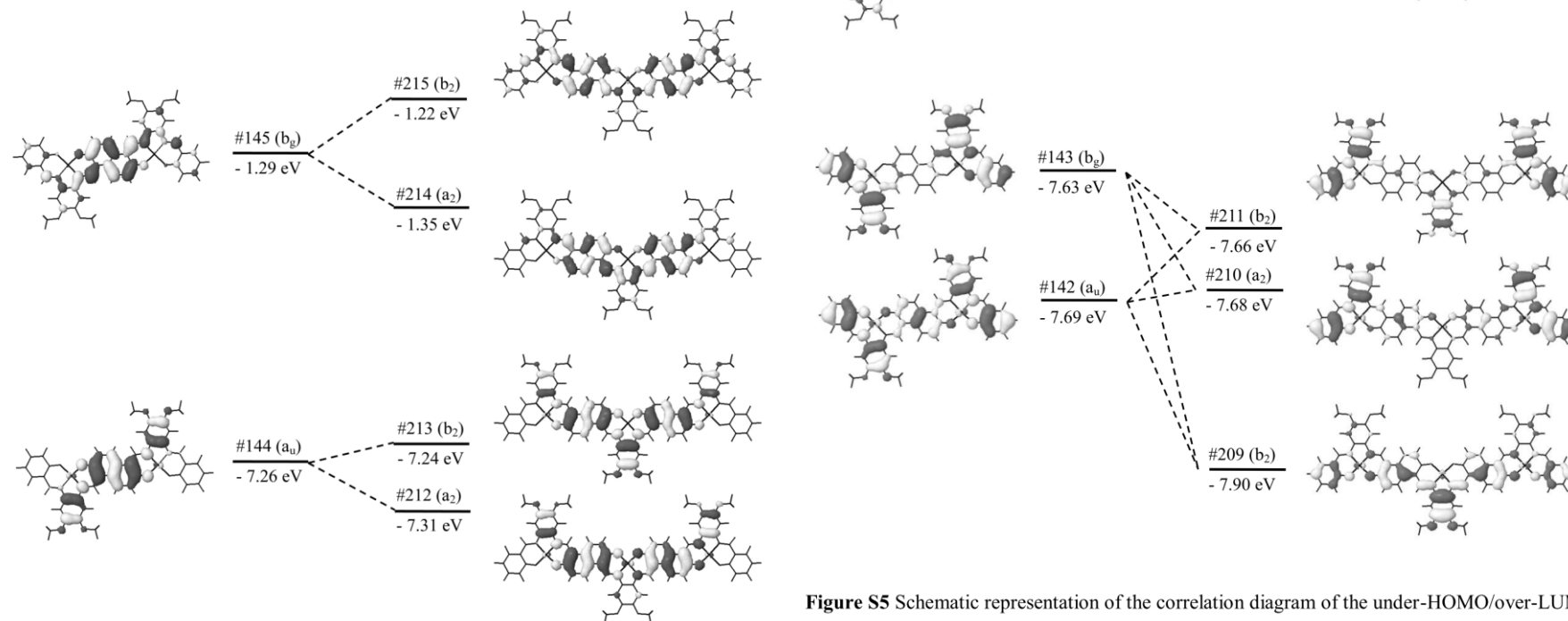
	$\lambda_{\text{calcd.}}/\text{nm}$ (state)	dominant contributions (CI coefficients)	oscillator strength
Ni <sub>2</sub> L2	464 ( <sup>1</sup> B <sub>u</sub> )	143→146 (-0.20) 144→145 (0.60)	0.56
	401 ( <sup>1</sup> B <sub>u</sub> )	142→145 (-0.31) 142→147 (0.31) 143→146 (0.37)	1.10
	355 ( <sup>1</sup> B <sub>u</sub> )	140→145 (0.39) 142→145 (-0.30)	0.99
Ni <sub>3</sub> L3	473 ( <sup>1</sup> B <sub>1</sub> )	212→215 (-0.37) 213→214 (0.49)	0.68
	452 ( <sup>1</sup> A <sub>1</sub> )	212→214 (0.43) 213→215 (-0.39)	0.49
	408 ( <sup>1</sup> A <sub>1</sub> )	210→214 (0.22) 210→216 (0.22) 211→215 (-0.22) 211→217 (0.22) 212→216 (0.23)	0.96
	396 ( <sup>1</sup> B <sub>1</sub> )	210→217 (0.29) 211→216 (0.30)	0.11
	391 ( <sup>1</sup> A <sub>1</sub> )	211→217 (0.23) 212→218 (0.29) 213→219 (0.28)	0.27
	361 ( <sup>1</sup> B <sub>1</sub> )	207→214 (0.30) 208→215 (0.21) 209→214 (0.26)	1.52
	352 ( <sup>1</sup> A <sub>1</sub> )	207→215 (0.24) 209→215 (0.22)	0.34



**Figure S4** Absorption profiles of (a) Ni<sub>2</sub>L2 and (b) Ni<sub>3</sub>L3 simulated using the results of ZINDO calculations. A Lorentzian curve was applied to each excitation.



**Figure 4.** Schematic representation of interorbital interaction that generates near-HOMO orbitals of Ni 2 L2.



**Figure S5** Schematic representation of the correlation diagram of the under-HOMO/over-LUMO

orbitals between di- and tri-nuclear nickel complexes

**Figure 5.** Schematic representation of the correlation diagram of the near-HOMO/LUMO orbitals between di- and trinuclear nickel complexes.